

# Translation

## PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

REC'D 18 MAR 2005

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To:  
DEQI INTELLECTUAL PROPERTY LAW CORPORATION  
8FI., Golder Plaza, No.10 Huanyuan-donglu, Haidian District,  
Beijing, 100083, P.R.China

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43 bis.1)

Date of mailing

10 MAR 2005 (10.03.2005)

Applicant's or agent's file reference

DF0444115P

#### FOR FURTHER ACTION

See paragraph 2 below

International application No.

PCT/CN2004/001452

International filing date (day/month/year)

14.December 2004(14.12.2004)

Priority date (day/month/year)

04.February 2004(04.02.2004)

International Patent Classification (IPC) or both national classification and IPC

IPC7:H04B 10/00

Applicant

HUAWEI TECHNOLOGIES CO., LTD et al.

#### 1. This opinion contains indications relating to the following items:

- ☒ Box No. I Basis of the opinion
- ☐ Box No. II Priority
- ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- ☐ Box No. IV Lack of unity of invention
- ☒ Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- ☐ Box No. VI Certain documents cited
- ☐ Box No. VII Certain defects in the international application
- ☐ Box No. VIII Certain observations on the international application

#### 2. FURTHER ACTION

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

#### 3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the ISA/CN

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Authorized officer

WANG QIONG



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WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

International application No.  
PCT/CN2004/001452

Box No. I Basis of the opinion

1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.  
  
☐ This opinion has been established on the basis of a translation from the original language into the following language  
, which is the language of a translation furnished for the purposes of: international search (under  
Rules 12.3 and 23.1(b))
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of :
  - a. type of material  
☐ a sequence listing  
☐ table(s) related to the sequence listing
  - b. format of material  
☐ in written format  
☐ in computer readable form
  - c. time of filing/furnishing  
☐ contained in the international application as filed.  
☐ filed together with the international application in computer readable form.  
☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ in addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

WRITTEN OPINION OF THE  
INTERNATIONAL SEARCHING AUTHORITY

International application No.  
PCT/CN2004/001452

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement:

Novelty (N)

|        |      |     |
|--------|------|-----|
| Claims | 1-12 | yes |
| Claims |      | no  |

Inventive step (IS)

|        |      |     |
|--------|------|-----|
| Claims | 1-12 | yes |
| Claims |      | no  |

Industrial applicability (IA)

|        |      |     |
|--------|------|-----|
| Claims | 1-12 | yes |
| Claims |      | no  |

2. Citations and explanations

Claims 1-12 meet the criteria set out in PCT Article 33 (2)-(4), because the prior art does not teach or fairly suggest overhead signal processing method and device in optical communication system, as claimed.